



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/670,620	09/25/2003	Jeffrey C. Swanson	10002929-3	6729

7590 01/17/2006  
HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, CO 80527-2400

EXAMINER

MASKULINSKI, MICHAEL C

ART UNIT PAPER NUMBER

2113

DATE MAILED: 01/17/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/670,620

Applicant(s)

SWANSON ET AL.

Examiner

Michael C. Maskulinski

Art Unit

2113

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 25 September 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-16, 19 and 21 is/are rejected.
- 7) ☒ Claim(s) 17, 18 and 20 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 25 September 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>1/9/04; 8/16/04</u> . | 6) <input type="checkbox"/> Other: _____  |

**Non-Final Office Action**

***Double Patenting***

1. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

2. Claims 1-21 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-17 of U.S. Patent No. 6,662,313 B1.

Although the conflicting claims are not identical, they are not patentably distinct from each other because of the following.

Referring to claim 1, claim 1 of U.S. Patent 6,662,313 B1 discloses circuitry for providing external access to signals that are internal to an integrated circuit, said circuitry comprising: a network comprising a plurality of multiplexers physically distributed throughout the integrated circuit, each of said multiplexers having its inputs coupled to a nearby set of nodes within the integrated circuit; and a trigger event

Art Unit: 2113

generator receiving a first N bits of sampled data from said network, said trigger event generator including a definable mask and selectively performing a boolean operation on said sampled data based on said mask to provide a trigger event. Although the conflicting claims are not identical, they are not patentably distinct from each other because claim 1 of U.S. Patent 6,662,313 B1 includes all of the limitations in claim 1 of the instant application. With regard to the additional limitations in claim 1 of U.S. Patent 6,662,313 B1, which are not included in claim 1 of the instant application, the omission of these limitations in claim 1 of the instant application is an obvious expedient since the remaining limitations in claim 1 of U.S. Patent 6,662,313 B1 perform the same function as the limitations in claims 12, 19, and 20 of the instant application (*In re Karlson*, 136 USPQ 184 (CCPA 1963)).

Referring to claim 2, claim 2 of U.S. Patent 6,662,313 B1 discloses wherein said trigger event generator further comprises a switch for selectively providing, as said trigger event, one of (i) a result of said boolean operation on said sampled data, (ii) a performance counter event signal, and (iii) an externally applied trigger signal.

Referring to claim 3, claim 3 of U.S. Patent 6,662,313 B1 discloses a counter providing an intermediate trigger in response to a predetermined number of said trigger events.

Referring to claim 4, claim 4 of U.S. Patent 6,662,313 B1 discloses a trigger delay providing a sample command a predetermined number of cycles following said intermediate trigger.

Referring to claim 5, claim 5 of U.S. Patent 6,662,313 B1 discloses said predetermined number of cycles represent respective operating cycles of the integrated circuit.

Referring to claim 6, claim 6 of U.S. Patent 6,662,313 B1 discloses wherein said predetermined number of cycles represent respective machine clock cycles.

Referring to claim 7, claim 7 of U.S. Patent 6,662,313 B1 discloses a programmable register storing a value corresponding to said predetermined number of cycles.

Referring to claim 8, claim 8 of U.S. Patent 6,662,313 B1 discloses wherein said programmable register selectively increments said value corresponding to said predetermined number of cycles by a predetermined number of said cycles.

Referring to claim 9, claim 9 of U.S. Patent 6,662,313 B1 discloses a sampling circuit responsive to said sample command to identify target data.

Referring to claim 10, claim 10 of U.S. Patent 6,662,313 B1 discloses a trigger delay providing a sample command a predetermined number of cycles following said trigger event.

Referring to claim 11, claim 11 of U.S. Patent 6,662,313 B1 wherein the sampling circuit is responsive to said sample command to identify target data.

Referring to claim 12, claim 1 of U.S. Patent 6,662,313 B1 discloses a sampling circuit responsive to said trigger event to identify target data.

Referring to claim 13, claim 12 of U.S. Patent 6,662,313 B1 discloses said target data comprises said first N bits of sampled data supplied by said network.

Art Unit: 2113

Referring to claim 14, claim 13 of U.S. Patent 6,662,313 B1 discloses wherein said target data consists of a second N bits of sampled data supplied by said network.

Referring to claim 15, claims 1 and 14 of U.S. Patent 6,662,313 B1 discloses a FIFO storage array (a memory) that stores at least a portion of the sampled data wherein said sampling circuit includes the FIFO array and the portion of the sampled data is said target data.

Referring to claim 16, claim 15 of U.S. Patent 6,662,313 B1 wherein said sampling circuit includes switching circuitry configured to selectively provide a predetermined portion of said target data.

Referring to claim 17, claim 16 of U.S. Patent 6,662,313 B1 discloses wherein said predetermined portion of said target data is N/M bits wide where N/M is a positive integer.

Referring to claim 18, claim 17 of U.S. Patent 6,662,313 B1 discloses wherein said sampling circuit includes multiplexing circuitry configured to combine M of said portions of said target data into a data unit N bits wide.

Referring to claim 19, claim 1 of U.S. Patent 6,662,313 B1 discloses wherein said sampling circuit includes the FIFO storage array.

Referring to claim 20, claim 1 of U.S. Patent 6,662,313 B1 discloses the FIFO storage that is N/M bits wide where N/M is a positive integer.

Referring to claim 21, claim 1 of U.S. Patent 6,662,313 B1 discloses a FIFO storage array that stores at least a portion of the sampled data.

***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

4. Claim 19 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claim 19 recites the limitation "the FIFO storage array" in line 2. There is insufficient antecedent basis for this limitation in the claim.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1-3, 12-16, 19, and 21 are rejected under 35 U.S.C. 102(b) as being anticipated by Ranson et al., U.S. Patent 5,867,644.

Referring to claim 1:

- a. In column 28, lines 57-64, Ranson et al. disclose that various 16:1 multiplexers are physically located at various remote locations around the microprocessor. Each has its inputs coupled to a set of test nodes (a network comprising a plurality of multiplexers physically distributed throughout the

integrated circuit, each of said multiplexers having its inputs coupled to a nearby set of nodes within the integrated circuit).

b. In column 15, lines 44-67 continued in column 16, lines 1-5, Ranson et al. disclose that the four bits of present state bus are provided to one of the inputs of comparator so that they may be compared with the contents of storage element, which specifies the present state during which entry will become active (a trigger event generator receiving a first N bits of sampled data from said network). The four bits that are output from the comparator are ANDed together at AND gate, yielding a one-bit match result for present state. Similarly, the contents of storage element 1202 are compared with the eleven bits of state machine input bus by the comparator. An OR gate is used to mask the output bits of the comparator with the contents of the storage element. The results of this masking operation are ANDed together, resulting in a match result for the state machine input bus (said trigger event generator including a definable mask and selectively performing a Boolean operation on said sampled data based on said mask to provide a trigger event).

Referring to claim 2:

a. In column 15, lines 44-57, Ranson et al. disclose the four bits that are output from the comparator are ANDed together at AND gate, yielding a one-bit match result for present state (a result of said Boolean operation on said sampled data).



b. In column 3, lines 55-58, Ranson et al. disclose that the outputs from the counters may also be used as state machine inputs, so that one event may be defined as a function of a different event having occurred a certain number of times (a performance counter event signal).

c. In the Abstract, Ranson et al. disclose that the output devices also include circuitry for generating internal and external triggers (an externally applied trigger signal).

Referring to claim 3, in column 3, lines 55-58, Ranson et al. disclose that the outputs from the counters may also be used as state machine inputs, so that one event may be defined as a function of a different event having occurred a certain number of times (a counter providing an intermediate trigger in response to a predetermined number of said trigger events).

Referring to claim 12, in column 3, lines 47-49, Ranson et al. disclose a diagnostics retrieval system that initiates operation of the integrated circuit and monitors the external pulse signal (a sampling circuit responsive to said trigger command to identify target data).

Referring to claims 13 and 14, in columns 16-26, Ranson et al. disclose sample-on-the-fly circuitry that latches the state of the test nodes 0-n whenever a control signal is asserted (a first and second N bits of sampled data supplied by said network).

Referring to claim 15, in Figure 4, Ranson et al. disclose remote registers for storing the data (said sampling circuit includes a memory storing said target data).

Art Unit: 2113

Referring to claim 16, in column 11, lines 16-26, Ranson et al. disclose sample-on-the-fly circuitry that latches the state of the test nodes 0-n whenever a control signal is asserted (switching circuitry configured to selectively provide a predetermined portion of said target data).

Referring to claim 19, in Figure 4, Ranson et al. disclose a storage array in which the bits are shifted serially through the remote registers (wherein said sampling circuit includes a FIFO storage array).

Referring to claim 21, in Figure 4 and in column 13, lines 38-67 continued in column 14, lines 1-62, Ranson et al. teach a FIFO storage array that stores at least a portion of the sampled data.

### ***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 4-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ranson et al., U.S. Patent 5,867,644 as applied to claim 1 above, and further in view of Tobin et al., U.S. Patent 5,771,240.

Referring to claims 4 and 10, in column 3, lines 55-58, Ranson et al. teach an intermediate trigger signal. However, Ranson et al. don't explicitly disclose a trigger

Art Unit: 2113

delay providing a sample command a predetermined number of cycles following said intermediate trigger. In column 4, lines 16-31, Tobin et al. disclose a programmable countdown timer that is configured to keep track of the number of clock cycles which pass once its input trigger capture signal is received, and produces a countdown timer enable signal when the number of clock cycles which have passed equals the programmed clock cycle count. It would have been obvious to one of ordinary skill at the time of the invention to include the countdown timer of Tobin et al. into the system of Ranson et al. A person of ordinary skill in the art would have been motivated to make the modification because *the ability of the debug trigger apparatus to precisely control the signaling delay of the trigger capture signal is critical to allowing the test system to iteratively retrieve continuous yet discrete test node signal events from the integrated circuit in order to form a useful trace of events for use in debugging problems and failures of the integrated circuit* (see Tobin et al.: column 4, lines 25-31).

Referring to claim 5, in column 4, lines 16-18, Tobin et al. disclose the programmable countdown timer may be set to countdown a programmed number of clock cycles before signaling a trigger capture signal (said predetermined number of cycles represent respective operating cycles of the integrated circuit).

Referring to claim 6, in column 4, lines 16-18, Tobin et al. disclose the programmable countdown timer may be set to countdown a programmed number of clock cycles before signaling a trigger capture signal (said predetermined number of cycles represent respective machine clock cycles).

Referring to claim 7, in Figure 8, Tobin et al. disclose a register for the countdown timer (a programmable register storing a value corresponding to said predetermined number of cycles).

Referring to claim 8, in column 3, lines 47-58, Tobin et al. disclose that when an external pulse signal is received, the diagnostics retrieval system may then reset the integrated circuit, reprogram the trigger condition, and set the programmed delay to a second delay value which is a known increment greater than the first delay value (said programmable register selectively increments said value corresponding to said predetermined number of cycles by a predetermined number of said cycles).

Referring to claim 9, in column 3, lines 47-49, Ranson et al. disclose a diagnostics retrieval system that initiates operation of the integrated circuit and monitors the external pulse signal (a sampling circuit responsive to said sample command to identify target data).

Referring to claim 11, in column 3, lines 47-49, Ranson et al. disclose a diagnostics retrieval system that initiates operation of the integrated circuit and monitors the external pulse signal (a sampling circuit responsive to said sample command to identify target data).

***Allowable Subject Matter***

8. Claims 17, 18, and 20 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael C. Maskulinski whose telephone number is (571) 272-3649. The examiner can normally be reached on Monday-Friday 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert W. Beausoliel can be reached on (571) 272-3645. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Michael C Maskulinski  
Examiner  
Art Unit 2113

MM